

DUE DILIGENCE QUALIFICATION QUESTIONNAIRE FOR ENERGY RESOURCES

Preliminary Note

International and national policies, laws and regulations contain obligations for companies and business organizations to combat money laundering, terrorist financing and other related threats to the integrity of the international financial system.

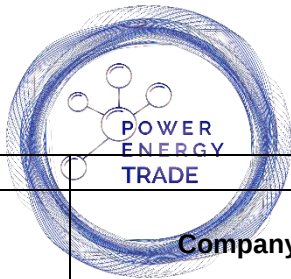
In order to comply with these provisions and to comply with our internal regulations, we need to know our intended or existing business partners. Thus, you are kindly requested to fill in this KYC and AML questionnaire about your company.

All information provided in this questionnaire shall be kept strictly confidential. Any such information shall not be disclosed to any third party except for persons and companies expressly authorized to receive this information.

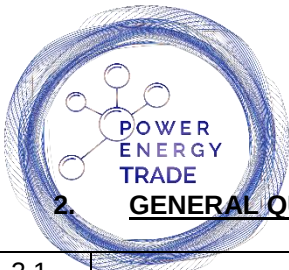
Please note that POWER ENERGY TRADE can decide not to proceed with the request to become a trading counterparty depending on the answers given in this questionnaire. In case of doubt, POWER ENERGY TRADE may initiate additional research activities in order to finalize its KYC due diligence.

1. GENERAL

1.1	Full Legal Name of the Company
1.2	Trading Name (if different from above)
1.3	Full Head Office Address Street/number Postcode/City Country Website address Further contact information
1.4	Identification Number
1.5	Country of Incorporation
1.6	Date of Incorporation
1.7	VAT number
1.8.	EIC Code
1.9	Shareholders Is your company publicly listed and where? Pechersk district state administration in the city of Kyiv YES ☐ NO ☐ If Yes, please list the Shareholders holding more than 5 % If No, please list all the beneficial owners of your Company: *Please provide us with evidence of your company's shareholder structure up to the ultimate beneficial owner(s).

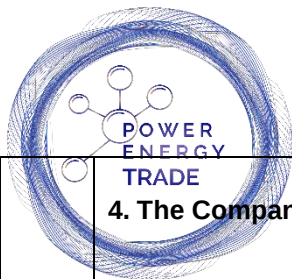


	Company/Person	Percentage	National Identification Number	Country of Registration/Residence
1.10	What is the ownership structure of the parent company(ies)?			
	Company/Person	Percentage	National Identification Number	Country of Registration/Residence
	In the ownership structure of the Company Russian, Belarus citizens, legal persons other entities with office in Russia, Belarus, Crimea, Luhansk, Donetsk regions can be found? YES ☐ NO ☐ If yes, please provide details			
1.11	Board members			
	Name	Date of birth	Nationality	Authorization to sign
				☐ Alone
				☐ Jointly
				☐ Alone
				☐ Jointly
1.12	Number of staff			
1.13	Main contact person			
	Name _____ Title _____ Telephone number _____ Email address Click here to enter date _____ Other relevant information _____			



2. GENERAL QUESTIONS UPON ACTIVITIES/BUSINESSES

2.1	Please describe the core business activities of the Company (Describe briefly the nature and history of your business, including its level of expertise and experience)?			
2.2	Which products do you trade? <table border="1"> <tr> <td> - Physical power - Physical gas - Physical Oil - Physical Coal - Emission Unit/Credit - Financial commodities - Others, please specify: </td> <td> ☐ ☐ ☐ ☐ ☐ ☐ ☐ </td> </tr> </table>		- Physical power - Physical gas - Physical Oil - Physical Coal - Emission Unit/Credit - Financial commodities - Others, please specify:	☐ ☐ ☐ ☐ ☐ ☐ ☐
- Physical power - Physical gas - Physical Oil - Physical Coal - Emission Unit/Credit - Financial commodities - Others, please specify:	☐ ☐ ☐ ☐ ☐ ☐ ☐			
2.3	Do you trade on your own account? YES ☐ NO ☐ Do you trade on account of others? YES ☐ NO ☐ If YES, please detail			
2.4	Do you plan to use any other entities or individuals, including subsidiaries, affiliates, partnerships or joint ventures, consultants, intermediaries or any individuals other than your own employees to act under any agreement with POWER ENERGY TRADE? If yes, identify their name and address, their relationship to you and the activities they will perform. YES ☐ NO ☐ If YES, please provide the following of the Agent Name Address Commercial registration number Role and responsibility			
2.5	1. In which countries is your company providing goods or services? 2. The Company maintains business relationship with legal person having office in Russia, Belarus, Crimea, Luhansk, Donetsk regions? YES ☐ NO ☐ If yes, please provide details 3. To the best of your knowledge the main business partners of the Company have direct or indirect business relationships with Russian, Belarus, natural persons, legal persons other entities with office in Russia, Belarus, Crimea, Luhansk, Donetsk regions: YES ☐ NO ☐ If yes, please provide details			



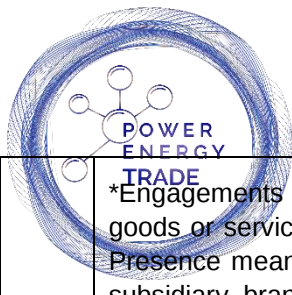
4. The Company has banking relationship with Russian, Belarus banks

YES ☐ NO ☐

If yes, please provide details _____

3. ANTI-MONEY LAUNDERING QUESTIONNAIRE

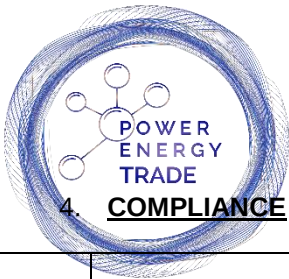
		YES	NO
3.1	Does any government official* have any direct or indirect ownership or other financial interest in your company or any affiliate of your company? If yes, please provide the details (including full name of official; full name of government, company, or party position; official responsibilities; date of service)	Yes ☐	No ☐
3.2.	Does any owner, director, officer, or key employee** of your company have any family or business relationships with any present or former government official*? If yes, please provide the details (see above; also identify the family relationship):	Yes ☐	No ☐
3.3.	Has your company or any associated organization or any owner, director, officer or key employee** of any of such organizations been suspended from doing business in any capacity, charged with any criminal act in any jurisdiction, or the subject of any other allegation of fraud, misrepresentation, corruption, bribery, money laundering or other related activities? If yes, please provide the details:	Yes ☐	No ☐
3.4.	Has your company or any of its affiliates, or any current director, officer, or key employee** ever been charged with, or investigated for fraud, misrepresentation, corruption, bribery, money laundering or other related activities? If yes, please provide details	Yes ☐	No ☐
3.5.	Has your company made any public disclosures to any Swiss, U.K., EU or U.S. authority, including the U.S. Department of Justice, the Securities and Exchange Commission, involving fraudulent or corrupt misconduct or improper accounting, including bribery? If yes, please provide the details and include a copy of any such written disclosure	Yes ☐	No ☐
3.6.	Is your company (or any owner, shareholder, director, officer, or key employee**) subject to any kind of sanction or restriction, including any sanction or restriction issued by any country and/or international organization including but not limited to the U.S., EU or its members, Swiss, Ukraine, U.N. which may directly or indirectly impede your commercial relationship with ERU or affect POWER ENERGY TRADE's compliance with US and any international relevant legislation? If yes, please provide us with details (nature of the sanction, date of issuance, date of expiry, etc.)	Yes ☐	No ☐
3.7	Does your Company have a direct or indirect presence and/or engagements involving Iran, Sudan, Syria, North Korea, Belarus, Russian Federation, Crimea, Donetsk/Luhansk regions or Cuba?	Yes ☐	No ☐



	*Engagements include, but are not limited to: export/import/transfer/transport/ships goods or services, payments from and to these Ultra High-Risk Countries (territories). Presence means the fact of existing, domiciled or incorporated in an UHRC or has a subsidiary, branch, sales office or parent with involvement in UHRC If yes, please provide details		
3.8.	Are you providing products/services to or receiving products/services from a counterparty which is structurally involved in these products or services, ending up or originating from (e.g. purchase, reselling) Iran, Sudan, Syria, North Korea, Belarus, Russian Federation, Crimea, Donetsk/Lugansk regions or Cuba? If yes, please provide details	Yes ☐	No ☐
3.9	Has your Company established written policies, procedures and controls in accordance with the FATF standards?	Yes ☐	No ☐
3.10	Do your policies, procedures and controls specifically include • Clear client identification / due diligence • Not maintaining anonymous accounts • Client activity monitoring • Risk based approach to 'Know your Customer' procedures based on products supplied and domicile of customer • Reporting suspicious activity to your local authorities • Retention of records of identification and due diligence in accordance with your local regulatory requirements		
3.11	Do you have procedures and protocols to monitor Political Exposed Persons?	Yes ☐	No ☐
3.12	Do you have any measures ensuring that no financial services are provided to listed terrorist and/or sanctioned names notified by competent authorities?	Yes ☐	No ☐
3.13	Do you have any measures ensuring that no funds are made available to listed terrorist and/or sanctioned names notified by competent authorities?	Yes ☐	No ☐

* Government official: includes any minister, elected or appointed official, director, officer or employee of any government (whether at a national, state/provincial or local level) or of any department, agency or instrumentality thereof, and/or of any enterprise in which government owns an interest, and/or of any public international organization. This term also includes any person acting in any official, administrative, legislative or judicial capacity for or on behalf of any such government or department, agency, instrumentality, company, or public international organization. This term also includes any political party official and candidate for public office.

** Key employee: any employee with executive or management authority of the company and of any company that is the ultimate beneficial owner (i.e. which owns and/or control > 25% of the company).



4. **COMPLIANCE**

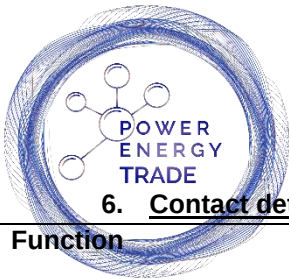
		YES	NO
4.1	Are you aware of and agree to abide by the provisions of applicable laws, especially the anti-corruption law of your country and in the U.S. and the EU, in providing goods and/or services under the proposed agreement (including but not limited to all Corrupt Practices Laws, the Anti-Money Laundering Laws, the OFAC Regulations, and all other applicable export control, anti-boycott and economic sanctions laws of the U.S. and applicable foreign legislation)?	☐	☐
4.2.	Does your company maintain internal management and accounting practices and controls sufficient to provide reasonable assurances of compliance with applicable Corrupt Practices Laws?	☐	☐
4.3	Describe your company's compliance organisation, your anti-corruption compliance policies, your compliance training activities, and your whistle-blowing channels, if any:	☐	☐

5. **DOCUMENTS**

To allow us a verification of the provided information above, we kindly ask you to provide us the following documents (if relevant):

	YES	NO
Company's shareholder structure up to the ultimate beneficial owner(s)	☐	☐
Copy of an excerpt from the commercial register (not older than three month)	☐	☐
Articles of Association	☐	☐
VAT certificate	☐	☐
Verification of the power of representation/signing (e.g. Power of Attorney) of the person(s) who will sign the contract	☐	☐
Copies of Audited Annual Accounts or Audited Financial Statements for the past three years	☐	☐
Verification of trading license(s) necessary for potential trading business	☐	☐
Code of Conduct/Ethics and/or Business Conduct	☐	☐

Note: If the provided documents are only available in your national language, we kindly ask you to provide an English translation as well.



6. Contact details

Function	Last Name / First Name	E-mail	Phone number
CEO			
CFO / Finance			
Legal & Compliance			
Trading			
Back office			

DATA PROTECTION & CONFIDENTIALITY

Does the undersigned consent to further processing and/or use of the data provided in this questionnaire for conducting an Integrity Due Diligence review by a third party?

☐ YES ☐ NO

Within the recipient's group, the data may be used according to the recipient's group's compliance rules and regulations.

EXECUTION OF THIS DOCUMENT

The undersigned declares in good faith that all information, answers and documents provided with this questionnaire are true, complete and authentic and that no important information is omitted that could have an impact on the KYC decision making process by the recipient.

We confirm that the Company is not subject to bankruptcy or insolvency proceedings.

In case of a changes appear in ownership structure (significant share) of the Company, location, statutory documents, or expiry of the submitted documents as well as any other changes in the data/information provided appear, the Company commits to notify POWER ENERGY TRADE within three (3) business days from such change by e-mail: office@powerenergytrade.com

Completed by

.....
Name (block letters)

.....
Signature

.....
Company name

Title/Function of the signee

.....
Place/Date